

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am an original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled "PLASTIC OPTICAL FIBER FERRULE AND METHOD FOR MAKING THE SAME", specification of which is attached hereto unless the following box is checked:

☐ was filed on _____ as PCT International Application No. _____ and was amended on _____ and/or was filed on _____ as United States Application No. _____ and was amended on _____.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 USC 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate, or PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application (s)

Number	Country	Filing date	Priority claims
Patent 2001-324891	Japan	October 23, 2001	Yes

I hereby claim the benefit under 35 USC 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 USC 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application or	Parent Filing date	Parent Patent Number
<u>PCT Parent No.</u>		<u>(if applicable)</u>

And I hereby appoint as principal attorneys and agent

Phillip S. Oberlin, Reg. No. 19,066; D. Edward Dolgorukov, Reg. No. 26,266; Donald A. Schurr, Reg. No. 34,247; Mark A. Hixon, Reg. No. 44,766; Stephen P. Evans, Reg. No. 47,281; and Angelica M. Colwell, Reg. No. 46,637

Please direct all communications to the following address:

Phillip S. Oberlin
MARSHALL & MELHORN, L.L.C.
Four SeaGate, Eighth Floor, Toledo, Ohio 43604
Phone: (419) 249-7149

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the full knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of the first inventor: Masafumi OKUMA

Inventor's signature: Masafumi Okuma Date: January 18, 2002
Residence: Saitama, Japan Citizenship: Japan
Post Office Address: 3-18, Honcho 6-chome, Kuki-shi, Saitama, Japan 346-0005

Full name of the second inventor: Kenichi MITANI

Inventor's signature: Kenichi Mitani Date: January 18, 2002

Residence: Tokyo, Japan

Citizenship: Japan

Post Office Address: 4-14, Osaki 3-chome, Shinagawa-ku, Tokyo, Japan 141-0032

Full name of the third inventor: Junji ICHIKAWA

Inventor's signature: Junji Ichikawa Date: January 18, 2002

Residence: Yokohama, Japan

Citizenship: Japan

Post Office Address: #102, 1-3, Edahigashi 3-chome, Tsuzuki-ku, Yokohama-shi,
Kanagawa, Japan 224-0006

1007437-02140

Attorney's Docket No. 1-15682

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Masafumi Okuma et al.

Serial No.: 0 / _____ Group No.:

Filed: _____ Examiner:

For: PLASTIC OPTICAL FIBER FERRULE AND METHOD FOR MAKING THE SAME

Patent: _____ Issue Date: _____

**DESIGNATION OF DOMESTIC REPRESENTATIVE BY ASSIGNEE
(37 CFR 3.61)**

1. I(We) am(are) the assignee(s) of the above-identified application/patent by virtue of an assignment

☒ separately submitted for recordal on even date herewith.

☐ A separate ☐ "ASSIGNMENT (DOCUMENT) RECORDAL COVER SHEET" or ☐ FORM PTO 1595 is also attached.

☐ recorded in the PTO on _____ Date _____

Reel _____ Frame _____

2. In accordance with 37 CFR 3.37, the assignee hereby certifies that the evidentiary documents, with respect to its ownership, have been reviewed and that, to the best of assignee's knowledge and belief, title is in the assignee seeking to take this action. A "CERTIFICATE UNDER 37 CFR 3.73(b)" is attached.

3. I(We) do not reside in the United States

I(We) designate, as domestic representative, on whom process or notice of proceedings affecting the application/patent may be served.

Name: Marshall & Melhorn, LLC

Address: Four SeaGate - 8th Floor

Toledo, Ohio 43604 USA

Kabushiki Kaisha Act One

Telephone No.: (419) 249-7149

Date: January 18, 2002

Masafumi Okuma
Signature of authorized person

Masafumi OKUMA
(type or print name of person authorized to sign on behalf of assignee)
President
Title of person authorized to sign on behalf of assignee

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Docket No. 1-15682

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Masafumi Okuma et al.

Application No./Patent No.: _____ Filed/Issue Date: _____

Entitled: PLASTIC OPTICAL FIBER FERRULE AND METHOD FOR MAKING THE SAMEKabushiki Kaisha Act One, a Japanese Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

[] Additional documents in the chain of title are listed on a supplemental sheet.

[X] Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

January 18, 2002
DateMasafumi OKUMA

Typed or printed name

Masafumi Okuma
SignaturePresident

Title

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Assignment

COPY

For good and valuable consideration, receipt of which is hereby acknowledged,
We (I)

Masafumi OKUMA of 3-18, Honcho 6-chome, Kuki-shi, Saitama, Japan
Kenichi MITANI of 4-14, Osaki 3-chome, Shinagawa-ku, Tokyo, Japan
Junji ICHIKAWA of #102, 1-3, Edahigashi 3-chome, Tsuzuki-ku, Yokohama-shi,
Kanagawa, Japan

hereby sell, assign and transfer to Kabushiki Kaisha Act One, a Japanese corporation,
having a place of business at 48-3 Nishikamata 7-chome, Ota-ku, Tokyo, Japan, its
successors and assigners, the entire right, title and interest throughout the world in our
invention in

" PLASTIC OPTICAL FIBER FERRULE AND METHOD FOR MAKING THE SAME "
for which we (I) have executed a United States patent application on or about the date of
this assignment, and all patent applications and patents of every country for said invention,
including divisions, reissues, continuations and extensions thereof, and all rights of priority
resulting from the filing of said applications; we (I) authorize the above-named assignee to
apply for patents of foreign countries for said invention, and to claim all rights of priority
without further authorization from us; we (I) agree to execute all papers useful in
connection with said United States and foreign applications, and generally to do everything
possible to aid said assignee, their successors, assigns and nominees, at their request and
expense, in obtaining and enforcing patents for said invention in all countries; and we (I)
request the Commissioner of Patents and Trademarks to issue all patents granted for said
invention to the above-named assignee, its successors and assigns.

Executed this 18th day of January, 2002.

Masafumi Okuma
Masafumi OKUMA

Executed this 18th day of January, 2002.

Kenichi Mitani
Kenichi MITANI

COPY

Executed this 18th day of January, 2002.

Junji Ichikawa

Junji ICHIKAWA

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